



State of Maine
Department of Health and Human Services
Office of Substance Abuse
Prescription Monitoring Program (PMP)



ME PMP ASAP 2007 Companion Guide

State of Maine Prescription Monitoring Program Overview

Legislation for a Prescription Monitoring program in Maine passed in 2003. The program provides a database of controlled substances schedules II, III and IV. Data collection for the program began in July of 2004 and continues for over 300 pharmacies both in and out of the state. The program allows health care providers to access comprehensive information through a convenient web portal to improve patient care.

The Office of Substance Abuse (OSA) was given authority under Title 22, Chapter 1603 of the Maine Statutes to establish and operate the Prescription Monitoring Program. The goal of the program is to provide information to both Prescribers (Physicians, Dentists, Optometrists, etc.) and Pharmacists to identify suspicious activity related to prescribing and dispensing of schedule II-IV controlled substances.

This electronic data submission manual is designed to assist those intending to submit data to Maine's PMP. Data Submitters consist of pharmacists or pharmacy representatives, including third-party vendors who submit data on behalf of pharmacies.

Data Submission Reporting Requirements

- ME PMP requires the reporting of class II, III, IV narcotic drugs.
- All pharmacies and dispensing outlets that dispense controlled substance in Maine are required to submit to the ME PMP
- Data submissions are transmitted electronically via the web portal or via sFTP.
- All data submissions must be submitted in the file format approved by the ME PMP.
- Currently, data submissions are due by the 15th and 30th of each month. ME PMP does allow for weekly submissions and encourages Data Submitters to submit weekly. Weekly submission should be sent by the Thursday following the end of the previous week (Sunday thru Saturday). ME PMP will begin requiring weekly data submission at some point during 2010, pending legislative action on proposed rule changes.
- Rejected records must be resubmitted within 2 weeks of rejection.

NOTE: "A dispenser who knowingly submits false information or fails to submit prescription monitoring information to the office as required by this chapter is subject to discipline by the Maine Board of Pharmacy pursuant to Title 32, chapter 117, subchapter 4 or by the applicable professional licensing entity." – MRSA 22, Ch. 1603

Electronic Data Submission Format Requirements

The required prescription information must be submitted in the ASAP 2007 format. For details and examples, please consult the implementation guide for the ASAP “Electronic Reporting Standard for Prescription Monitoring Programs,” Version 004, Release 000, 2007. The document is available from the American Society for Automation in Pharmacy at www.asapnet.org or 610-825-7783.

Below is the ME PMP Implementation of the ASAP 2007 Standard

HEADER SEGMENT		
TRANSACTION HEADER		
TH01	Version/Release Number	
TH02	Transaction Control Number	
TH03	Transaction Type	Send “01”
TH04	Not used by ME PMP	
TH05	Created Date	
TH06	Creation Time	
TH07	File Type	Send “P”
TH08	Composite Element Separator	
TH09	Data Segment Terminator	
INFORMATION SOURCE		
IS01	Unique Information Source	
IS02	Information Source Entity Name	
IS03	Not used by ME PMP	
DISPENSING PHARMACY		
PHA01	National Provider Identifier (NPI)	If Available
PHA02	NCPDP/NABP Number ID	
PHA03	DEA Number	
PHA04	Not used by ME PMP	
PHA05	Not used by ME PMP	
PHA05	Not used by ME PMP	
PHA07	Not used by ME PMP	
PHA08	Not used by ME PMP	
PHA09	Not used by ME PMP	
PHA10	Not used by ME PMP	
PHA11	Not used by ME PMP	
PHA12	Not used by ME PMP	
DETAIL SEGMENT		
PATIENT		
PAT01	Not used by ME PMP	
PAT02	ID Qualifier	Send “03”
PAT03	ID of Patient	
PAT04	Not used by ME PMP	
PAT05	Not used by ME PMP	
PAT06	Not used by ME PMP	
PAT07	Last Name	
PAT08	First Name	

PAT09	Middle Name	If Available
PAT10	Name Prefix	If Available
PAT11	Name Suffix	If Available
PAT12	Address Information – 1	
PAT13	Address Information – 2	If Available
PAT14	City Address	
PAT15	State Address	
PAT16	ZIP Code	Send “00000” for Non-US
PAT17	Not used by ME PMP	
PAT18	Date of Birth	
PAT19	Gender Code	
PAT20	Species Code	
PAT21	Patient Location Code	If Available
DISPENSING RECORD		
DSP01	Reporting Status	Only ‘02’ or ‘03’ if sent
DSP02	Prescription Number	
DSP03	Date Written	
DSP04	Refills Authorized	
DSP05	Date Filled	
DSP06	Refill Number	
DSP07	Product ID Qualifier	Send “01” or “06”
DSP08	Product ID	
DSP09	Quantity Dispensed	
DSP10	Days Supply	
DSP11	Drug Dosage Units Code	
DSP12	Not used by ME PMP	
DSP13	Partial Fill Indicator	
DSP14	Pharmacist National Provider ID (NPI)	If Available
DSP15	Pharmacist State License Number	If Available
DSP16	Classification Code for Payment Type	
PRESCRIBER		
PRE01	National Provider Identifier (NPI)	If Available
PRE02	DEA Number	
PRE03	DEA Number Suffix	If Available
PRE04	Not used by ME PMP	
PRE05	Last Name	
PRE06	First Name	
PRE07	Not used by ME PMP	
COMPOUND DRUG INGREDIENT (If applicable)		
CDI01	Compound Drug Ingredient Number	
CDI02	Product ID Qualifier	Send “01”
CDI03	Product ID	
CDI04	Compound Ingredient Quantity	
CDI05	Compound Drug Dosage Units Code	
ADDITIONAL INFORMATION REPORTING		
AIR01	Not used by ME PMP	
AIR02	Not used by ME PMP	
AIR03	Not used by ME PMP	

AIR04	Not used by ME PMP
AIR05	Not used by ME PMP
AIR06	Not used by ME PMP
AIR07	Not used by ME PMP
AIR08	Not used by ME PMP
AIR09	Not used by ME PMP
AIR10	Not used by ME PMP
AIR11	Not used by ME PMP
SUMMARY SEGMENT	
PHARMACY TRAILER	
TP01	Detail Segment Count
TRANSACTION SET TRAILER	
TT01	Transaction Control Number
TT02	Segment Count

Additional Data Submission Requirements

- The data submitted must be as indicated in the ASAP 2007 standard except where ME PMP identifies specific implementation rules that are identified above.
- The ASAP 2007 standard is character-delimited. Segment and field terminators must be unique within the submission and must be used consistently within the file. Delimiters cannot be found within the data elements themselves. Tabs are not recommended as a delimiter. We recommend the following delimiters in all transactions:
 - >
 - * Asterisk
 - : Colon
 - ~ Tilde Terminator
 - ^
 - | Pipe
 - \ Backslash
- Data fields identified (bold text in the table above) are **required** for the ME PMP ASAP 2007 implementation.
- Data elements must adhere to the length and data specifications outlined in the ASAP 2007 standard.
- Fields labeled “Not used by ME PMP” may be submitted but additional fields outside of the standard may not be submitted.
- Fields labeled ‘If Available’ are encouraged to be submitted although they are not mandatory. The fields must adhere to the specifications outlined in the ASAP 2007 Implementation Guide or the claim record will reject.
- The TH and IS segments within the **HEADER** segment are sent once per file submission.
- The TH segment must be on one line in the file. The segment cannot be broken out into separate lines (wrapped).
- TH07 should be ‘P’ for any production file. All production files will be rejected if anything other than ‘P’ is present.
- For multiple pharmacies within a single file, the PHA segment is repeated with the subsequent **DETAIL** segments.
- For multiple patients within a single pharmacy submission, the **DETAIL** segments are repeated for each patient.

- For multiple prescriptions for a single patient, the DSP, PRE, CDI segments are repeated under the PAT segment.
- For a compound prescription, the CDI segment is utilized. CDI should only include schedule II-IV controlled substances
- The TP segment should be included at the end of each PHA segment.
- DSP01 (Reporting Status) will only accept '02' (Cancel) or '03' (Purge) field codes if sent. The code '01' (Change) will not be accepted. All record corrections must be done within the portal using the "Line Item Correction" feature.
- The ME PMP does not utilize the Acknowledgement/Response segment. A notification will be sent via the web portal of the status of your submission.

Assistance

Data Submitters are advised to contact their software vendors to request modifications and instructions to enhance their pharmacy systems to comply with the new electronic submission standard. The ME PMP Helpdesk is available to provide assistance and information to individual pharmacies, chain pharmacies, software providers and other entities required to submit data.

Assistance is available from the ME PMP Helpdesk from 8:30 a.m. to 5:00 p.m. ET. The Helpdesk can be reached at 866-749-7838 or at mepdmphelpdesk@ghsinc.com. The ME PMP Helpdesk is not available to help data submitters to modify or configure their pharmacy software to comply with the ASAP 2007 standard.